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49443 7590 10/02/2008

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(Depositor's Name)
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/660,194	09-11-2003	FRANKEL, Fred R	P-7770-US3	5786

TITLE OF INVENTION: METHODS OF INDUCING IMMUNE RESPONSES THROUGH THE ADMINISTRATION OF AUXOTROPHIC ATTENUATED DAL/DAT DOUBLE MUTANT LISTERIA STRAINS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1,055	01/02/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
PARKIN, JEFFREY S	1648	424-234100

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list
(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
(2) the name of a single firm (have as a member a registered attorney or agent) and the names of up to two registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Mark S. Cohen
2 PEARL COHEN
ZEDEK LATZER, LLP
3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Trustees of the University of Pennsylvania

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Philadelphia, PA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☒ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27 ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature: _____

Date: January 1, 2009

Typed or printed name: **Mark S. Cohen**

Registration Number: 42,425

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